Graduate Student Handbook

Doctoral (Ph.D.) Program in Family Sciences

2011-2012

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Updated: 10/19/2011
I. PHILOSOPHY OF THE PROGRAM

The family sciences doctoral program is designed to prepare students for careers in research, higher education teaching, and community education and services, with an emphasis on family issues, processes, and finances.

II. DOCTORAL PROGRAM OVERVIEW

The application deadline is January 15 to begin fall semester of the same calendar year; admissions are for fall semester enrollment only.

Students pursuing the family sciences doctoral degree must take up to 5 foundational courses (FAM 601, FAM 652, FAM 654, FAM 690, and FAM 703) if they or their equivalents were not taken in a master’s program.

The family sciences doctoral degree program is competency based, but minimal coursework requirements prior to the qualifying examination include 2 years of residency and 36 credit hours, comprised of 15 credit hours of foundational courses (if not taken in master’s program), 9 hours of research methods and theory, 13 credit hours of statistics, 6 credit hours of professional development, and 15 credit hours in a specialization area. At least two-thirds of the minimum requirements must be in regular courses, and at least three-quarters of the minimum requirements must be in courses numbered at the 600 or 700 levels.

Areas of emphasis within the doctoral program are: (a) adolescent development, (b) aging, (c) family finances and economics, and (d) family processes.

III. GENERAL INFORMATION

A. General Regulations

Students are expected to know all regulations and procedures required by the program of study being pursued; ignorance of departmental or university regulations and procedures is not a valid excuse. Therefore, students should become familiar with the Graduate School Bulletin (especially see, “Student Responsibility”) and the Department of Family Sciences Graduate Student Handbook. In the case of a contradiction, the Graduate School Bulletin supersedes this departmental handbook.

B. Academic Load

For the fall and spring semesters, a typical graduate load is 9 credit hours per semester and the maximum is 15 credit hours. The maximum load is 9 credit hours for the 8 week summer term, 4 credit hours for the 4-week intersession, or 12 hours for a combination of both summer terms. The Credit Overload Form, (see www.research.uky.edu/gs/forms.html) should be used to request permission to exceed these loads.

C. Temporary Advisor

The Director of Graduate Studies (DGS) or designee will advise all new students for the first semester. Students then choose a Major Advisor and committee as outlined below.

D. Advisory Committee

Prior to completing nine credit hours or two semesters of classes (whichever comes last), each doctoral student should identify a full member of the family sciences graduate faculty with whom they share similar academic interests, and ask that faculty member to serve as Major Advisor (Program Advisory Committee Chair). (Note that associate members of the graduate faculty can co-chair the Program Advisory Committee with a full member of the graduate faculty.) Then, in consultation with the Major Advisor, three additional faculty members must be selected to serve as Program Advisory Committee Members. The Program Advisory Committee must be comprised of at least three full members of the graduate faculty, and three of the four must be Family Sciences faculty members. All Program Advisory Committee members are typically available for consultation, but the Major Professor serves as the primary supervisor and guidance counselor to provide continuity of counsel throughout the program of study.
The Graduate School must be notified of the student’s Program Advisory Committee members once the committee is formed (see www.research.uky.edu/gs/forms.html). Any subsequent changes in committee membership also must be promptly submitted to the Graduate School, but only following consultation with the Major Advisor and/or the Director of Graduate Studies (DGS).

The Program Advisory Committee will assist the student in developing a customized program of study that meets the requirements of the program and helps the student develop sufficient competency for the student’s qualifying examination, dissertation, and professional goals. Upon completion of coursework and written component of the qualifying exam, the Program Advisory Committee also administers the oral component of the qualifying exam (see below).

The plan of study must be filed with the DGS using the “Proposed Plan of Work” form (see fam.uky.edu/forms) prior to completing nine credit hours or two semesters of classes (whichever comes last). Changes in the plan of study may occur thereafter, but the Program Advisory Committee must approve any changes, and any changes that deviate from the doctoral program curriculum requirements require Graduate Faculty approval.

The Dissertation Advisory Committee mentors students through the dissertation process (see below), and will initially be automatically comprised of the Program Advisory Committee members upon successful completion of the qualifying exam unless and until a change is submitted. Although not necessary, it is common to change the Advisory Committee composition when transitioning to the dissertation to achieve a better fit for the intended dissertation topic and methodology. Note, however, that the Dissertation Advisory Committee membership cannot be changed after the beginning of the student’s last semester unless a member of the committee is unavailable that semester. In such an instance, the DGS must approve the substitution.

E. Transfer Credit
Transfer of courses is not permitted at the doctoral level. However, competencies attained through prior coursework will be considered by the student’s Program Advisory Committee when designing a program of study.

F. Student Absence
Enrolled graduate students who sit out for one or more semesters will need to complete a new application (both Graduate School and Department of Family Sciences) and pay the application fee in order to be considered for readmission. Upon readmission, program rules in place at the time of the readmission will apply. In many instances this requirement can be avoided by requesting a “leave of absence.” In addition to avoiding the application process, this status will allow the student to priority register in preparation for their return to UK.

Procedurally, students should contact the Director of Graduate Studies (DGS) to seek approval for the leave prior to the beginning of the semester in question. If approved, the DGS will contact their Graduate School admissions officer who will modify the record accordingly. No more than two consecutive and four total semesters in leave of absence status may be requested. Post-qualifying doctoral students are not eligible for the leave of absence. International students considering a leave of absence are strongly encouraged to discuss their plans with the Office of International Affairs, Department of Immigration Services prior to making a formal request.

G. Grades and Grade Point Average
An overall average of B (3.0) on all graduate work in the program must be attained before a doctoral degree may be awarded. Note that graduate courses may not be taken pass/fail and D grades are not awarded to graduate students.

When students have completed 12 or more credit hours of graduate course work with a cumulative grade point average of less than 3.0, they will be placed on scholastic probation. Students will have one full-time semester or the equivalent (9 credit hours) to remove the scholastic probation by
attaining a 3.0 cumulative grade point average. If probation is not removed, students will be dismissed from the program.

A student may repeat a graduate course and count only the second grade as part of the graduate grade point average. This action must be initiated by petition of the Director of Graduate Studies (DGS) to the Dean of the Graduate School, and may be used only once in a particular degree program.

H. **Termination Policy**
The Graduate Faculty (or a designated committee) will regularly evaluate the academic performance of graduate students in the Department.

i. **Warnings.** The Director of Graduate Studies (DGS) will send a student warning of unsatisfactory progress for any of the following:
- grade point average for work taken the previous semester was below a 3.0
- 6 or more credit hours of incomplete work
- 4 or more credit hours of C grades

ii. **Termination.** The Graduate Faculty may terminate a student for any of the following:
- grade point average below 3.0 in each of two semesters of work
- 6 credit hours of I (incomplete) grades not been completed after one year
- more than 6 credit hours of I (incomplete) grades accumulated at any one time
- 7 or more credit hours of grades below a B (even if the cumulative GPA is over 3.0).
- absences from the program (i.e., not taking at least one course per semester) without permission (see Student Absence section above).
- failure to demonstrate satisfactory competence on a second attempt of the Qualifying Examination (if a student fails a first attempt at the Qualifying Examination, the examination may be retaken after at least four months but not more than twelve months have passed since the first examination date)
- failure to demonstrate satisfactory competence on a second attempt of the final examination (if a student fails a first attempt at the final examination, the examination may be retaken after at least one month has passed since the first examination date)

A student who is terminated will receive written notice of the decision stating the grounds for termination, and will be afforded an opportunity to meet with the graduate faculty and appeal the dismissal. After meeting with the student and considering the student’s grounds for appeal, the graduate faculty can reconsider the termination decision.

I. **Curriculum Requirements**

<table>
<thead>
<tr>
<th>Credits</th>
<th>Course</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Foundational (required unless approved from master's degree)</td>
</tr>
<tr>
<td>3</td>
<td>FAM 601: Systemic Family Development</td>
</tr>
<tr>
<td>3</td>
<td>FAM 652: Readings in Family Theory and Research</td>
</tr>
<tr>
<td>3</td>
<td>FAM 654: Lifespan Human Development and Behavior</td>
</tr>
<tr>
<td>3</td>
<td>FAM 690: Research Methods in Family Science</td>
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<tr>
<td>3</td>
<td>FAM 703: Family Economics and Management</td>
</tr>
<tr>
<td></td>
<td>Research Methods &amp; Theory (minimum 9 credit hours; both FAM courses required)</td>
</tr>
<tr>
<td>3</td>
<td>FAM 752: Seminar in Family Theory Construction</td>
</tr>
<tr>
<td>3</td>
<td>FAM 790: Advanced Methods in Family Science</td>
</tr>
<tr>
<td>3</td>
<td>CJT 664: Qualitative Methods in Communication Research</td>
</tr>
<tr>
<td>3</td>
<td>EPE 663: Field Studies in Educational Institutions (qualitative methods)</td>
</tr>
<tr>
<td>3</td>
<td>EPE 763: Advanced Field Studies (qualitative methods)</td>
</tr>
<tr>
<td>3</td>
<td>NUR 791: Qualitative Methods in Nursing</td>
</tr>
<tr>
<td>3</td>
<td>SOC 682: Qualitative Methods in Social Science Research</td>
</tr>
<tr>
<td></td>
<td>Statistics (minimum 13 credit hours)</td>
</tr>
</tbody>
</table>
3  FAM 777: Applied Statistics in Family Science
4  STA 570: Basic Statistics
2  STA 671: Regression & Correlation
2  STA 672: Design and Analysis of Experiments
2  STA 673: Analysis of Categorical Data

**Suggested Courses**
3  CJT 765: Structural Equation Modeling
3  EDP 707: Multivariate Analysis in Educational Research
3  EDP 778: Seminar in Educational Psychology (Multi-Level Data Analysis)
3  EDP 778: Seminar in Educational Psychology (Research with Large Datasets)

**Professional Development** (minimum 6 credit hours)
1  FAM 775-001: Professional Development Seminar I (1st Fall Semester)
1  FAM 775-002: Professional Development Seminar II (2nd Fall Semester)
2  FAM 785/786: Advanced Problems (Instruction)
2  FAM 785/786: Advanced Problems (Research)

**Area of Specialization** (minimum 15 credit hours)
15  FAM classes and/or others at UK that form a cohesive area of specialization

**Popular Options** (or create your own customized specialization)
- Graduate Certificate in Applied Statistics
- Graduate Certificate in College Teaching & Learning
- Graduate Certificate in Gerontology
- Graduate Certificate in Maternal & Child Health
- Graduate Certificate in Gender & Women's Studies
- Preparing Future Faculty

**Dissertation Research** (2 credit hours per semester until graduated)

  FAM 767: Dissertation Residency Credit

Students are required to remain continuously enrolled (every fall and spring semester) in FAM 767: Dissertation Residency Credit for 2 credit hours every semester after completing the qualifying examination until the dissertation has been successfully defended. These 2 credit hours will constitute full-time enrollment, as registered in student information systems, and will be charged at in-state tuition rates. Students needing continuing enrollment beyond the semester of the final examination will enroll in FAM 749 for 0 credit hours.

Students are required to complete at least two semesters of FAM 767 before they can graduate. If registered for FAM 767, residency credit will be applied for a qualifying examination taken at any time during the first semester of enrollment. However, the request to schedule the qualifying examination must be submitted and approved within the first six weeks of the semester.

**J. Qualifying Examination**

The purpose of the qualifying examination (a.k.a., comprehensive examination) is to demonstrate knowledge of theories and research methods integrated across the core curriculum and area of specialization. All doctoral students are required to complete a qualifying examination comprised of written and oral components. Consistent with Graduate School rules, qualifying examinations (written and oral) can cover any material deemed appropriate by the student’s Advisory Committee.

Prior to taking the qualifying examination, all required courses must be completed with passing grades and all I (incomplete), S (satisfactory), and U (unsatisfactory) grades must be removed. Students must take the qualifying examination within five years of entry into the program. Extensions up to an additional three years may be requested. Extensions up to 12 months may be approved by the Dean of the Graduate School upon receipt of a request from the Director of Graduate Studies (DGS). Requests for extensions longer than 12 months must be considered by Graduate Council and will require the positive recommendation of the DGS, the chair of the student's Advisory Committee, and a majority vote of Graduate Faculty in the program. If the qualifying examination has not been passed at the end of five years, or at the end of all approved time extensions, the student will be dismissed from the program.
The qualifying exam for doctoral students will consist of written and oral components. If you have a documented disability that requires academic accommodations for the qualifying exam, please see the DGS as soon as possible to discuss your needs. In order to receive accommodations for the qualifying exam, you must provide the DGS with a Letter of Accommodation from the Disability Resource Center, Room 2, Alumni Gym, 257-2754, jkarnes@email.uky.edu, for coordination of campus disability services available to students with disabilities.

i. Written component. The written component will be a common exam constructed by the department’s Qualifying Examination Committee, composed of graduate faculty, and administered by the DGS twice a year during approximately the third and fourth week of spring and fall semesters. All students taking the exam in a given semester will take the exam on the same days and at the same time.

The written exam will be comprised of three parts: Part I focuses on theory (8 hours on Monday), Part II focuses on research methods and statistics (8 hours on Wednesday), and Part III focuses on an integrative task that covers theory, research methods, statistics, and the extant literature (the following week; Monday through Friday).

Part I (theory) and Part II (research methods and statistics) will be administered in a controlled environment. The only materials or resources that may be used for Parts I and II is a single sheet of 8.5” x 11” paper with notes (front and back permitted); the same sheet of notes must be used for both Part I and Part II of the exam. The sheet of notes will be taken by the exam proctor at the conclusion of Part I (first exam day) and will be returned at the beginning of Part II (second exam day). Theory will be administered on Monday and Methods will be administered on Wednesday.

The integrative task that comprises Part III of the exam will be administered with a take-home format. Students will be emailed the integrative task on Monday at 8:00 am of the second week and will be instructed to email their response by 5:00 pm on Friday of the same week.

Each portion of the written component will be graded independently of one another by the Qualifying Examination Committee. On Parts I and II, the Qualifying Examination Committee determines whether sufficient competency has been demonstrated for the student to proceed to the oral examination. A student who fails to demonstrate sufficient competency on either Part I or Part II must retake the failed part(s) of the exam in accordance with retesting procedures (see below).

Similarly, the Qualifying Examination Committee determines whether sufficient competency has been demonstrated on Part III for the student to proceed to the oral examination. However, for Part III (and only for Part III), students who do not demonstrate sufficient competency may, at the Qualifying Examination Committee’s discretion, be asked to revise and resubmit the integrative task. In the case of a revise and resubmit request, the student will be notified of the decision on a Friday and be required to submit a revision within 10 days (i.e., by 5:00 pm the second Monday).

Upon completion of its review, the Qualifying Examination Committee Chair will notify the Director of Graduate Studies, who will then notify the Program Advisory Committee Chair, in writing of the scores and all concerns noted during the assessment of the written responses. The Director of Graduate Studies will also notify the student of the results and will direct the student to speak with the Chair of his or her Program Advisory Committee for feedback and guidance to prepare for the oral component of the qualifying examination (if sufficient competency has been demonstrated to proceed to the oral component) or for remedial work to prepare for a second and final attempt at the written component.

ii. Oral component. The oral component (a.k.a., orals) will be taken after the Qualifying Examination Committee indicates that sufficient competency has been demonstrated on
Parts I, II, and III of the written examination. The oral examination is conducted by the student’s Program Advisory Committee, and must be scheduled with the Graduate School (see www.research.uky.edu/gs/forms.html) at least two weeks in advance of the oral exam. Majority opinion of the Program Advisory Committee prevails; if the Committee is evenly divided, the student fails.

Upon passing both the written and oral component of the qualifying examination, the student officially becomes a candidate for the Ph.D. degree and proceeds to the dissertation. If a student fails the qualifying examination on the first attempt, at either the written or oral stage, the student’s Advisory Committee will require the student to complete additional work to prepare for taking the qualifying examination again (e.g., additional courses or directed readings). Upon completion of these additional requirements to the satisfaction of the Advisory Committee, the student can make a second attempt at passing the qualifying examination.

Scheduling requirements for the second examination are the same as scheduling requirements for the first examination. The student will not be permitted to take a second examination before 4 months have passed since the first examination but must retake the examination before 12 months have elapsed. Students are permitted two opportunities to pass the qualifying examination; if the student fails both attempts, the student will be dismissed from the program.

K. Dissertation Proposal

Upon successful completion of the qualifying exam, students are encouraged to reevaluate the fit of their Major Professor and Advisory Committee for the intended direction they wish to pursue in their dissertation work. Committee changes are not required, but if desired should be discussed in advance with the Major Advisor, the Advisory Committee member(s) involved in any potential change, and the Director of Graduate Studies (DGS). If the DGS is the Major Advisor or the committee member involved in a switch, then the Department Chair may take the DGS role in these discussions, if necessary.

Within 60 days after passing the oral component of the qualifying exam, the student must submit a signed D-2: Dissertation Plan form (see fam.uky.edu/forms) to the DGS. The D-2 must be signed by the student’s Major Professor prior to submission. The D-2 covers four key issues:

i. The research topic that will be addressed in the dissertation.

ii. The format of the dissertation (e.g., the traditional five chapter format, three manuscript chapters plus introduction and integrative conclusion chapters, or another alternative format).

iii. Identification of data (e.g., existing data, collection of new data) and research methodology (e.g., quantitative, mixed-methods, qualitative) that will be employed.

iv. Rules of authorship for publications and presentations that will emanate from the dissertation. Generally, the Major Professor has the right to publish off the dissertation as first author after one year if the student has not yet done so, or if the student fails to submit invited revisions in accordance with the stated timeline in a revise and resubmit letter. This plan or an alternative agreement between the student and Major Professor must be spelled out in the Dissertation Plan form.

The dissertation proposal should be developed in consultation with the Major Advisor, and should include a review of the relevant literature and a statement of the research problem, its theoretical importance, and the proposed research design, methods, and procedures. Upon completion and approval of the Major Advisor, a dissertation proposal meeting must be scheduled with the Dissertation Advisory Committee to discuss the proposal and obtain the committee’s approval; the completed proposal must be distributed to the Dissertation Advisory Committee members for their review at least two weeks prior to the dissertation proposal meeting.
Once the dissertation proposal is accepted by the Dissertation Advisory Committee, each committee member must signify approval of the proposal by signing the D-3: Dissertation Proposal form. The D-3, with all necessary signatures, must then be submitted to the DGS.

L. Dissertation
The dissertation is a well-reasoned research project that makes an original contribution to knowledge in the field of study and provides evidence of high scholarly achievement. The student’s Major Advisor will provide the primary guidance in planning and preparing the dissertation; however, other members of the Dissertation Advisory Committee should be consulted as well.

i. Written document. The content and general organization of the dissertation is left to the discretion of each student, with the approval of the Dissertation Advisory Committee.

Procedures for undertaking and writing the dissertation are outlined on the Graduate School’s website (www.research.uky.edu/gs). Referencing format and style should follow the most recent edition of the Publication Manual of the American Psychological Association unless otherwise approved by the student’s Dissertation Advisory Committee.

Students are expected to know and follow the administrative procedures and forms associated with all elements of dissertation completion, degree application, final defense, and graduation as specified by the Graduate School in the Graduate School Bulletin.

ii. Oral defense. Oral defense of the dissertation must be conducted in full accordance with the Graduate School’s guidelines and must take place no fewer than 8 days prior to the last day of classes in the semester the student intends to graduate. The Graduate School must be notified of the intent to defend the dissertation (see the Graduate School website) at least two months prior to the defense date, but not until after the Major Professor has distributed a complete draft of the dissertation to the Dissertation Advisory Committee for review and has received consent from the majority of the Dissertation Advisory Committee to proceed with scheduling the defense. The primary purpose of notifying the Graduate School of the intent to defend is so that the Graduate School has sufficient time to identify and appoint an Outside Examiner (a full graduate faculty member from another department on campus who is not on the student’s Dissertation Advisory Committee) as a core member of the Dissertation Advisory Committee. Note that an oral defense will usually not be given during the summer period (May 15 through August 15) because most faculty members have 9-month appointments and cannot be required to be in attendance during this period.

The precise date, time, and location of the oral defense must be scheduled via the Graduate School no less than two weeks prior to the requested defense date. The Dissertation Advisory Committee, which includes the Outside Examiner, must be provided with a complete and final copy of the dissertation at this time as well (i.e., at least two weeks prior to the defense).

Procedures for the doctoral defense and shall include the following three elements:

a. Candidates will present a 30-40 minute public seminar on their dissertation work.

b. The candidate and the any guests will then be asked to exit the room so the Committee can discuss any concerns with regard to the dissertation and deliberate on appropriate questions to ask the candidate.

c. The candidate (but not any guests) will then be invited to return to the room and Committee members will raise questions and concerns, as well as make observations and suggestions, related to the content and contribution of the dissertation document.

d. At the conclusion of questioning and discussion of the dissertation, the candidate will be asked to leave the room so the Committee can deliberate privately concerning the outcome of the oral defense and the nature of needed changes or enhancements to the
dissertation. At the conclusion of these deliberations the candidate will be invited to
return and will be informed of the outcome of the examination.

Please note that, if on the basis of the oral defense, revisions to the dissertation are stipulated
by the Committee, time will be needed to make the changes before graduation. The
Committee is under no obligation to review and approve changes without adequate time. It is
therefore highly recommended that the oral defense be scheduled earlier in the semester so
ample time for revisions is available.

Majority opinion of the Dissertation Advisory Committee prevails; if the Committee is
evenly divided then the student fails. In the event of failure, the advisory committee
recommends to the Dean of the Graduate School conditions under which the candidate may
be re-examined, if re-examination is deemed appropriate. When conditions set by the Dean
of the Graduate School have been met, the candidate may be re-examined. The minimum
time between examinations is four months. A second examination must be taken within one
year after the first examination. The Dean of the Graduate School will appoint replacements
if any vacancies occur on the Committee between the two examinations. Students are
permitted two opportunities to pass the oral defense; if the student fails both attempts, the
student will be dismissed from the program.

The DGS and the Major Advisor must be given a bound copy of the student’s dissertation following
a successful defense and upon completion of any necessary revisions. Students are strongly
encouraged to provide other members of the Dissertation Advisory Committee with copies as well
(not necessarily bound).

M. Doctoral Degree Time Limit

All degree requirements for the doctorate must be completed within five years following the
semester or summer session in which the candidate successfully completes the qualifying
examination, but extensions up to an additional 5 years may be requested for a total of 10 years.
Extensions up to 1 year may be approved by the Dean of the Graduate School. Requests for
extensions longer than 1 year must be considered by Graduate Council. All requests should be
initiated by the Director of Graduate Studies (DGS). If approved, extensions longer than one year
will require a retake of the qualifying examination. Failure to pass the re-examination will result in
the termination of degree candidacy; a second re-examination is not permitted. Failure to complete
all degree requirements within 10 years of initially taking the qualifying examination will also result
in the termination of degree candidacy. All pre- and post-qualifying residency requirements must
again be met if the student subsequently seeks readmission to the doctoral program.

N. Graduation Ceremonies

The School of Human Environmental Sciences participates in graduation ceremonies at the end of
the spring semester only. In order to participate in the ceremony, the student must have successfully
completed all degree requirements as symbolized by signatures of the student’s Advisory Committee
members and the Director of Graduate Studies (DGS) on the final examination card. It is the
student’s responsibility to notify the DGS of her or his intent to attend the graduation ceremony and
to obtain the appropriate academic attire through the University Bookstore.

IV. Financial Support

The Department of Family Sciences offers both half-time (10 working hours per week) and full-time (20
working hours per week) graduate teaching assistantships, which usually include half or full-time tuition
scholarships, respectively. Faculty members who have received internal or external funding may also
offer research assistantships. Additionally, the School of Human Environmental Sciences awards
scholarships each year; application forms are available from the School of Human Environmental
Sciences’ Office of Student Services, located in Erikson Hall. Other types of financial assistance are
available from the University. Please see the Graduate School Bulletin for additional information on
financial support.
V. DEADLINES

During second semester in program:
- Select Major Advisor (Chair) and Program Advisory Committee members.
- Have a plan of study meeting with Program Advisory Committee and submit an approved D-1: Plan of Study form to the Director of Graduate Studies.

2-5 years after entering program:
- Take qualifying exam

Maximum 60 days after obtaining candidate status:
- Submit Dissertation Plan form to Director of Graduate Studies.

Minimum 2.5 months prior to final examination (oral defense):
- Submit complete dissertation to Dissertation Advisory Committee for approval to schedule the final examination (oral defense).

Minimum 2 months prior to final examination (oral defense):
- Schedule final examination with the Graduate School, in consultation with Dissertation Advisory Committee.

Minimum 2 weeks prior to final examination (oral defense):
- Submit final dissertation to Dissertation Advisory Committee.

1-5 years after attaining candidate status:
- Dissertation must be completed and the final examination passed.

VI. FORMS

Department of Family Sciences (fam.uky.edu/forms)
- D-1: Plan of Study
- D-2: Dissertation Plan
- D-3: Dissertation Proposal

UK Graduate School (www.research.uky.edu/gs/forms.html)
- Credit Overload
- Petition for Post Mid-Term Withdrawal from Class(es)
- Qualifying Exam
- Notification of Intent to Schedule Final Examination
- Request for Final Doctoral Examination
- and many other forms…
Appendix: Doctoral Program Flow Chart

Prior to completing nine credit hours or two semesters of classes (whichever comes last)

Form Program Advisory Committee

Obtain Approval for Plan of Work

Submit D-1 to DGS

Complete Courses on Plan of Work

Written Component of Qualifying Exam

Second Attempt Written Component of Qualifying Exam (Part I and/or II)

Part I & II Sufficient to Proceed?

No

Part III Sufficient to Proceed?

Yes

No

4-12 months

Submit Revised Response to Part III

Part III Sufficient to Proceed?

Yes

No

4-12 months

Yes

Yes

Pass Oral Component of Exam?

No

Pass Oral Component of Exam?

Yes

Submit Revised Response to Part III

Part III Sufficient to Proceed?

Yes

No

4-12 months

Yes

Part I & II Sufficient to Proceed?

Yes

No

4-12 months

Yes

Yes

Second Attempt Written Component of Qualifying Exam (Part III)

Part III Sufficient to Proceed?

Yes

No

4-12 months

Submit Revised Response to Part III

Part III Sufficient to Proceed?

Yes

No

4-12 months

Yes

Yes

Second Attempt Written Component of Qualifying Exam (Part III)

Part III Sufficient to Proceed?

Yes

No

4-12 months

Submit Revised Response to Part III

Part III Sufficient to Proceed?

Yes

No

4-12 months

Yes

Yes

Submit Complete Dissertation to Committee

Obtain Approval for Dissertation Proposal

Complete Dissertation Plan

Form Dissertation Advisory Committee

Submit D-2 to DGS

Minimum 2 weeks before oral defense

Minimum 2 months in advance

Schedule Oral Dissertation Defense

Submit Final Dissertation to Committee

Oral Dissertation Defense

Pass Oral Dissertation Defense?

No

Yes

Second Attempt Oral Dissertation Defense

Pass Oral Dissertation Defense?

No

Yes

Second Attempt Oral Dissertation Defense

Pass Oral Dissertation Defense?

No

Yes

Congratulations: You're a Doctor!!

Dismissal from Program

No

Yes

Pass Oral Dissertation Defense?

No

Yes

Dismissal from Program

Maximum 60 days after attaining candidate status

Congratulations: Candidate Status!!

Minimum 2 weeks before oral defense

Pass Oral Dissertation Defense?